

**CIRCULAR**

SEBI/HO/IMD/DF3/CIR/P/2019/020

January 22, 2019

**All Mutual Funds/Asset Management Companies (AMCs)/  
Trustee Companies/Boards of Trustees of Mutual Funds  
Association of Mutual Funds in India (AMFI)**

**Sub: Revised Monthly Cumulative Report (MCR)**

Please refer to SEBI circular MF/CIR/07/404/2000 dated July 31, 2000 and subsequent relevant circulars prescribing the format for reporting of Monthly Cumulative Report (MCR).

In line with SEBI circulars dated October 6, 2017 and December 4, 2017 on “Categorization and Rationalization of Mutual Fund Schemes”, the format of MCR has been revised and the same is placed at **Annexure-A**.

Accordingly, from April 2019 onwards, AMCs shall submit the MCR to SEBI in the revised format by the 3<sup>rd</sup> working day of each month.

As per the existing provision, a Mutual Fund scheme is permitted to invest certain percentage of its AUM in schemes of same Mutual Fund or other Mutual Funds. In order to avoid such investments being considered by both the investee and investing scheme, it is clarified that the investing scheme shall exclude the same while reporting the data on AUM in the MCR.

This circular is issued in exercise of powers conferred under Section 11 (1) of the Securities and Exchange Board of India Act, 1992, read with the provisions of Regulation 77 of SEBI (Mutual Funds) Regulations, 1996, to protect the interests of investors in securities and to promote the development of, and to regulate the securities market.

Yours faithfully,

**Deena Venu Sarangadharan**  
**Deputy General Manager**  
Tel No: 022-26449266  
Email: deenar@sebi.gov.in

Encl: As above

**Annexure**

Name of the Mutual Fund: \_\_\_\_\_

MCR for the period from April 2019 to \_\_\_\_\_

								₹ In Crore
Sr. No.	Scheme Category	No. of schemes as on _____	No. of Folios as on _____	Funds mobilized for the period(Since April 01 to ---)	Repurchase/ Redemption for the period(Since April 01 to--)	Net Inflow (+ve)/ Outflow (-ve) for the period(Since April 01 to--)	Net Assets Under Management as on _____	Average Net Assets under Management for the month----#
<b>A</b>	<b>Open ended Schemes</b>							
<b>I</b>	<b>Income/Debt Oriented Schemes</b>							
1	Overnight Fund							
2	Liquid Fund							
3	Ultra Short Duration Fund							
4	Low Duration Fund							
5	Money Market Fund							
6	Short Duration Fund							
7	Medium Duration Fund							
8	Medium to Long Duration Fund							
9	Long Duration Fund							
10	Dynamic Bond Fund							
11	Corporate Bond Fund							
12	Credit Risk Fund							
13	Banking and PSU Fund							
14	Gilt Fund							
15	Gilt Fund with 10 year constant duration							
16	Floater Fund							
	<b>Sub total - I (1+2+3+4+5+6+7+8+9+10+11+12+13+14+15+16)</b>							
<b>II</b>	<b>Growth/Equity Oriented Schemes</b>							
17	Multi Cap Fund							
18	Large Cap Fund							
19	Large & Mid Cap Fund							
20	Mid Cap Fund							
21	Small Cap Fund							
22	Dividend Yield Fund							
23	Value Fund/Contra Fund							
24	Focused Fund							
25	Sectoral/Thematic Funds							
26	ELSS							
	<b>Sub total - II (17+18+19+20+21+22+23+24+25+26)</b>							

								₹ In Crore
Sr. No.	Scheme Category	No. of schemes as on _____	No. of Folios as on _____	Funds mobilized for the period(Since April 01 to ---)	Repurchase/ Redemption for the period(Since April 01 to--)	Net Inflow (+ve)/ Outflow (-ve) for the period(Since April 01 to--)	Net Assets Under Management as on _____	Average Net Assets under Management for the month----#
<b>III</b>	<b>Hybrid Schemes</b>							
27	Conservative Hybrid Fund							
28	Balanced Hybrid Fund/Aggressive Hybrid Fund							
29	Dynamic Asset Allocation/Balanced Advantage							
30	Multi Asset Allocation							
31	Arbitrage Fund							
32	Equity Savings							
	<b>Sub total - III (27+28+29+30+31+32)</b>							
<b>IV</b>	<b>Solution Oriented Schemes</b>							
33	Retirement Fund							
34	Childrens' Fund							
	<b>Sub total - IV (33+34)</b>							
<b>V</b>	<b>Other Schemes</b>							
35	Index Funds							
36	GOLD ETF							
37	Other ETFs							
38	Fund of funds investing overseas							
	<b>Sub total - V (35+36+37+38)</b>							
	<b>Total A-Open ended Schemes</b>							
<b>B</b>	<b>Close Ended Schemes</b>							
<b>I</b>	<b>Income/Debt Oriented Schemes</b>							
i	Fixed Term Plan							
ii	Capital Protection Oriented Schemes							
iii	Infrastructure Debt Fund							
iv	Other Debt							
	<b>Sub total (i+ii+iii+iv)</b>							
<b>II</b>	<b>Growth/Equity Oriented Schemes</b>							
i	ELSS							
ii	Others							
	<b>Sub total (i+ii)</b>							
<b>III</b>	<b>Other Schemes</b>							

								₹ In Crore
Sr. No.	Scheme Category	No. of schemes as on _____	No. of Folios as on _____	Funds mobilized for the period(Since April 01 to ---)	Repurchase/ Redemption for the period(Since April 01 to--)	Net Inflow (+ve)/ Outflow (-ve) for the period(Since April 01 to--)	Net Assets Under Management as on _____	Average Net Assets under Management for the month----#
	<b>Total B -Close ended Schemes</b>							
<b>C</b>	<b>Interval Schemes</b>							
<b>I</b>	<b>Income/Debt Oriented Schemes</b>							
<b>II</b>	<b>Growth/Equity Oriented Schemes</b>							
<b>III</b>	<b>Other Schemes</b>							
	<b>Total C Interval Schemes</b>							
	<b>Grand Total (A+B+C)</b>							
	<b>Fund of Funds Scheme (Domestic)</b>							

*No. of schemes also includes serial plans.*

*The sum of number of investors for each scheme type will not match the sub total under each scheme type as investors may be common across different scheme of different mutual funds. In addition, the above table is collation of unique investors from individual fund houses.*

Notes

*#AAUM of the mutual fund is the average of the daily AUM of the Mutual Fund for the month - Inter scheme investments are excluded from the above data*